

Customer No. 22,852 Attorney Docket No. 06502.0054-01000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Ann M. WOLLRATH et al.) Group Art Unit: 2162
Application No.: 10/015,801) Examiner: Jean B. Fleurantin
Filed: December 17, 2001 For: METHOD AND APPARATUS FOR TRANSPORTING BEHAVIOR IN AN EVENT-BASED DISTRIBUTED SYSTEM)) Confirmation No. 1256)))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(d)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicants bring to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after a Final Office Action and is accompanied by a fee of \$180.00 as specified under § 1.17(p) and a certification as specified under § 1.97(e).

Based on reasonable inquiry, no document listed in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and no document listed in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement (1/2006 EANCED) 60802073 16015601 01 FC:1828 180.23 OP

Copies of the listed U.S. patents are not enclosed.

PATENT Customer No. 22.852

Attorney Docket No. 06502.0054-01000

Application No. 10/015,801

Applicants respectfully request that the Examiner consider the listed documents

and indicate that they have been considered by making appropriate notations on the

attached form.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that the listed documents are

material or constitute "prior art." If the Examiner applies the documents as prior art

against any claims in the application and Applicants determine that the cited documents

do not constitute "prior art" under United States law, Applicants reserve the right to

present to the Office the relevant facts and law regarding the appropriate status of such

documents.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should the documents

be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please

charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: November 15, 2006

Jeffrey A. Berkówitz

Reg. No. 36,743

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STATEMENT BY APPLICANT		First Named Inventor	Ann M. WOLLRATH	The man and the second		
OTATEMENT DI ATTEMAN			Art Unit	2162	MADE	
(Use as many sheets as necessary)			Examiner Name	Jean B. FLEURANTIN		
Sheet	1	of	1	Attorney Docket Number	06502 0054 04000	

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS						
Examiner Cite	Document Number	Issue or	Name of Patentee or	Pages, Columns, Lines, Where		
Initials	No.	Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		6,463,480	10-08-2002	Kikuchi et al.		
		5,828,842	10-27-1998	Sugauchi et al.		

	FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation	
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.